

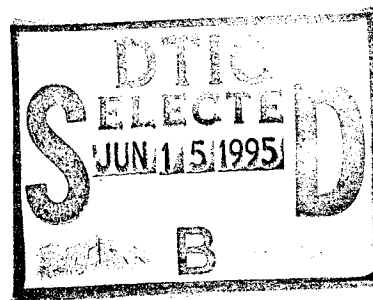
RL-TR-95-40
In-House Report
March 1995



ALGORITHM PERFORMANCE EVALUATION

Richard N. Smith, Anthony M. Greci, Philip A. Bradley

APPROVED FOR PUBLIC RELEASE; DISTRIBUTION UNLIMITED.



Rome Laboratory
Air Force Materiel Command
Griffiss Air Force Base, New York

DTIC QUALITY INSPECTED 3

19950613 093

This report has been reviewed by the Rome Laboratory Public Affairs Office (PA) and is releasable to the National Technical Information Service (NTIS). At NTIS it will be releasable to the general public, including foreign nations.

RL-TR-95-40 has been reviewed and is approved for publication.

APPROVED:



DANIEL J. McAULIFFE, Chief
Communications Division
Command, Control & Communications Directorate

FOR THE COMMANDER:



HENRY J. BUSH
Deputy for Advanced Programs
Command, Control & Communications Directorate

If your address has changed or if you wish to be removed from the Rome Laboratory mailing list, or if the addressee is no longer employed by your organization, please notify RL (C3BA) Griffiss AFB NY 13441. This will assist us in maintaining a current mailing list.

Do not return copies of this report unless contractual obligations or notices on a specific document require that it be returned.

REPORT DOCUMENTATION PAGE

Form Approved
OMB No. 0704-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503.

1. AGENCY USE ONLY (Leave Blank)		2. REPORT DATE March 1995		3. REPORT TYPE AND DATES COVERED In-House	
4. TITLE AND SUBTITLE ALGORITHM PERFORMANCE EVALUATION				5. FUNDING NUMBERS PE - 62702F PR - 4519 TA - 42 WU - 63	
6. AUTHOR(S) Richard N. Smith, Anthony M. Greci, Philip A. Bradley					
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Rome Laboratory (C3BA) 525 Brooks Road Griffiss AFB NY 13441-4505				8. PERFORMING ORGANIZATION REPORT NUMBER RL-TR-95-40	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES) Rome Laboratory (C3BA) 525 Brooks Road Griffiss AFB NY 13441-4505				10. SPONSORING/MONITORING AGENCY REPORT NUMBER	
11. SUPPLEMENTARY NOTES Rome Laboratory Project Engineer: Richard N. Smith/C3BA (315) 330-7436					
12a. DISTRIBUTION/AVAILABILITY STATEMENT Approved for public release; distribution unlimited.				12b. DISTRIBUTION CODE	
13. ABSTRACT (Maximum 200 words) Traditionally, the performance of adaptive antenna systems is measured using automated antenna array pattern measuring equipment. This measurement equipment produces a plot of the receive gain of the antenna array as a function of angle. However, communications system users more readily accept and understand Bit Error Rate (BER) as a performance measure. The work reported on here was conducted to characterize adaptive antenna receiver performance in terms of overall communications system performance using BER as a performance measure. The adaptive antenna system selected for this work featured a linear array, Least Mean Square (LMS) adaptive algorithm and a high speed Phase Shift Keyed (PSK) communications modem.					
DTIC QUALITY INSPECTED 3					
14. SUBJECT TERMS Adaptive Antennas, Interference Cancellation, Least Mean Square, digital communication				15. NUMBER OF PAGES 17	
				16. PRICE CODE	
17. SECURITY CLASSIFICATION OF REPORT UNCLASSIFIED	18. SECURITY CLASSIFICATION OF THIS PAGE UNCLASSIFIED	19. SECURITY CLASSIFICATION OF ABSTRACT UNCLASSIFIED	20. LIMITATION OF ABSTRACT U/L		

TABLE OF CONTENTS

	Page
Acknowledgment	iii
List of Figures	iv
1.0 Introduction	1
2.0 Adaptive Spatial/Temporal Processor Testbed	2
2.1 Anechoic Chamber	2
2.2 Flexible Adaptive Spatial Signal Processor (FASSP)	3
3.0 Conventional Adaptive Array Baseline	4
4.0 Bit Error Rate (BER) Performance Measure	5
5.0 Test Setup and Results	6
6.0 Conclusions and Recommendations	8

Accession For	
NTIS GRA&I	<input checked="" type="checkbox"/>
DTIC TAB	<input type="checkbox"/>
Unannounced	<input type="checkbox"/>
Justification	
By	
Distribution/	
Availability Codes	
Dist	Avail and/or Special
A-1	

ACKNOWLEDGMENTS

Thanks to Mr. Anthony M. Greci and Mr. Philip A. Bradley for their technical support.

LIST OF FIGURES

Figure		Page
1.	Adaptive Array Processing Testbed	1
2.	Anechoic Chamber	2
3.	Flexible Adaptive Spatial Signal Processor (FASSP)	4
4.	Conventional Adaptive Array Baseline	5
5.	Test Setup	6
6.	Least Mean Square (LMS) Performance Unconstrained	7
7.	LMS Performance Constrained	7

1.0 Introduction

This report contains the results of work accomplished under task one of the in house project 45194263, entitled "Communications Adaptive Array Processor Evaluation".

Traditionally, the performance of adaptive antenna systems is measured using automated antenna array pattern measuring equipment. This measurement equipment produces a plot of the receive gain of the antenna array as a function of angle. In an anechoic chamber, a linear array is illuminated by a desired signal and a number of interference sources. The desired signal is broad side to and in the main beam of the array. The interference sources can be placed in the peak of a side lobe where they are most affective. The phase and amplitude weights required to null the interference sources are calculated via an adaptive algorithm. The antenna pattern is then plotted using a Continuous Wave (CW) tone. Rotating the array gives the desired plot. If the plots are computed with the sources in the far field ($>2D/\lambda$ between antenna array and sources) they are an accurate picture of the interference suppression performance of the adaptive array. However, communications system users more readily accept and understand BER as a performance measure. The work reported on here was conducted so that adaptive antenna receivers performance could be characterized in terms of overall communications system performance using BER.

ADAPTIVE ARRAY PROCESSING TESTBED

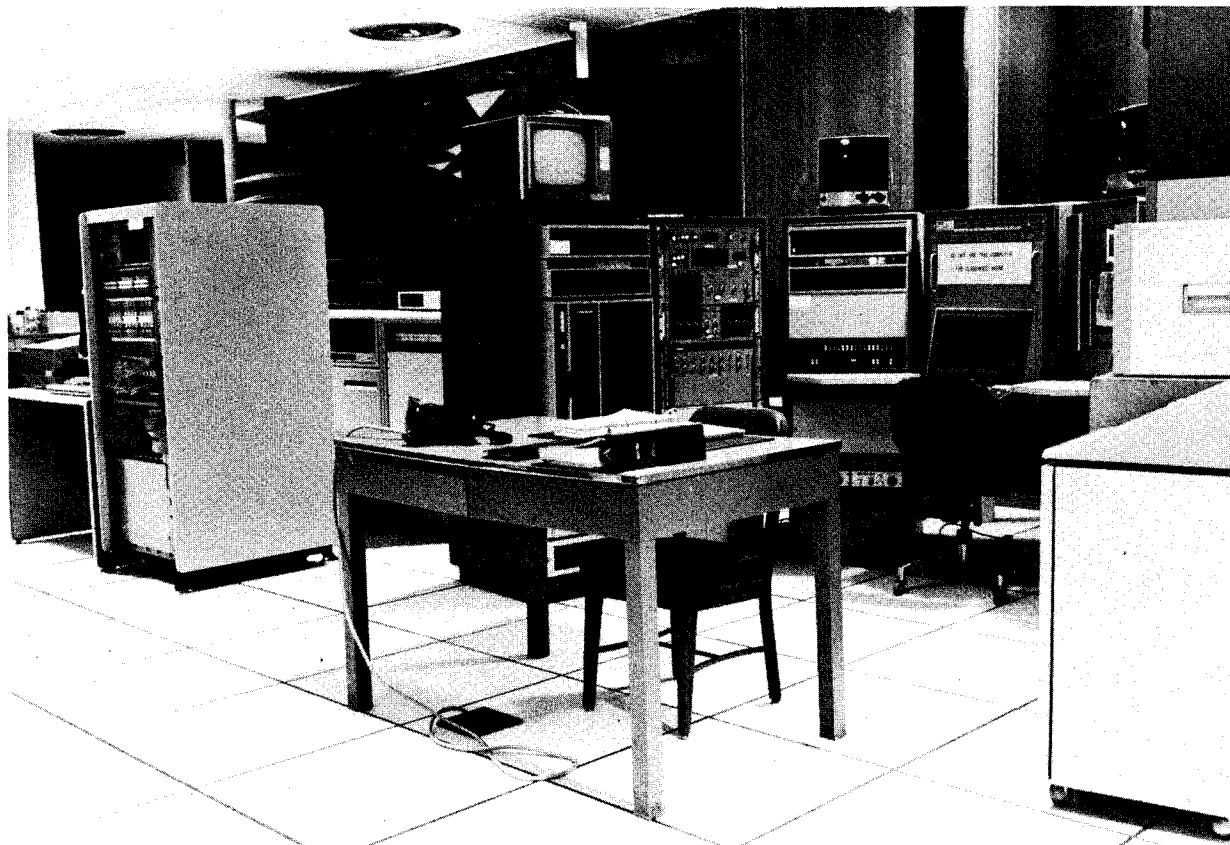


Figure 1

2.0 Adaptive Spatial/Temporal Processor Testbed

RL/C3 has a unique adaptive array processing testbed. The testbed shown in Figure 1 consists of a rectangular anechoic chamber, a Flexible Adaptive Spatial Signal Processor (FASSP), and antenna pattern recorder, various types of jammer/desired signal sources and satellite communications simulation and analysis programs.

The testbed simulation/analysis computer programs are used to study and compare adaptive processing system concepts, techniques and algorithms. This provides a "fast-look" approach to determine the merit and feasibility of a concept. If the results show promise, the concept is tested further using real signals and adaptive processor hardware to determine the actual benefit attainable. The testbed is reconfigurable and functions as a tool to support the development of methodologies for comparing and evaluating new adaptive spatial processing algorithms, architectures, techniques and devices suitable for meeting satellite communications requirements (such as those for the Defense Satellite Communications System (DSCS)).

2.1 Anechoic Chamber

The anechoic test chamber shown in Figure 2 is a rectangular structure 40 foot long, 28 foot wide and 18 foot high [5]. The inner chamber is isolated from RF fields, over the range 150 MHz to 18 GHz, by at least 100 dB. It has a six foot diameter spherical quiet zone midway between the ceiling and the floor and about 50 inches from the tips of the absorber on the back wall.

ANECHOIC CHAMBER

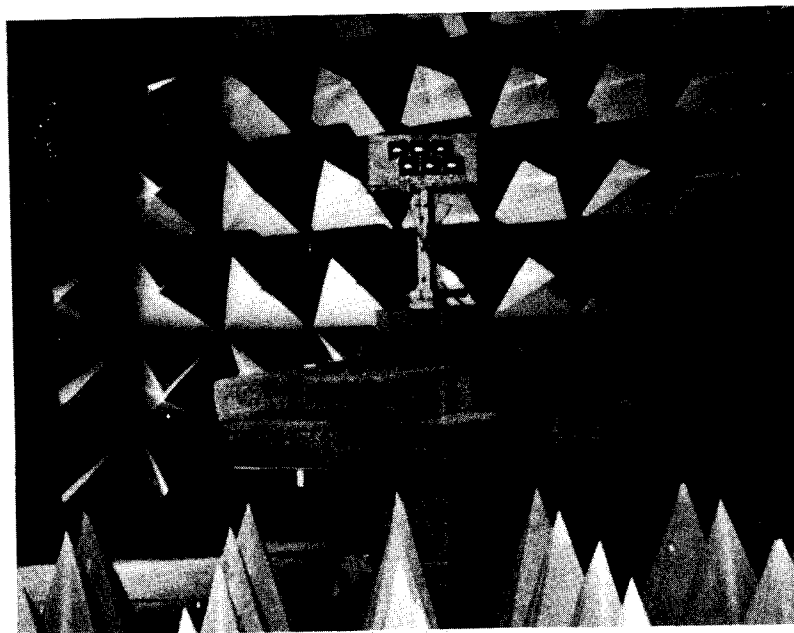


Figure 2

The receive element array is positioned in the center of the quiet zone to minimize reception of all reflected signals. All chamber walls, ceilings and floors, except walkways, are covered completely with energy (RF) absorbing material. A Scientific Atlanta model 5315C-5 antenna positioner is installed in the chamber. The tip of the model tower (which supports the array elements) is located in the center of the "quiet zone". The chamber is wide enough and has provisions so that several signal sources can be used simultaneously at the back wall opposite to the "quiet zone".

Six feet of the 40 foot chamber is partitioned off and is used as an equipment room to house the signal sources and antenna positioner controls. Absorber panels are removable to allow access for mounting signal/jammer antennas. Signal and control connections between the chamber and the laboratory equipment (FASSP and Scientific Atlanta 2020 system) are provided through bulkhead feed through panels at each end of the chamber.

All functions such as source power, frequency, mode and receiver antenna position (pedestal rotation) are controlled from outside the chamber.

2.2 Flexible Adaptive Spatial Signal Processor (FASSP)

The FASSP [4] testbed is shown in Figure 3. All adaptive spatial processing systems consist of an array of receiving elements, which provide the spatial diversity required to cancel interference signals, an adaptive processor that processes the signal samples received by the array elements to compute the adaptive weights that produce the desired spatial response and a weighting network to apply the adaptive weights to the signals received by the elements of each input channels.

The design of adaptive spatial processing systems is very complicated because of the close interaction between these three basic components. Although computer simulations can be used to compare the performance of adaptive algorithms and techniques, the hardware implementation effects cannot always be easily modeled.

With this in mind, RL/C3BA conceived the ideas of a Flexible Adaptive Spatial Signal Processor (FASSP), which was designed and fabricated for RL by Syracuse Research Corp. The FASSP is a general purpose flexible hardware adaptive array processor system that supports the integration/test of adaptive processing algorithms, architectures, techniques and real components.

The FASSP system was fabricated with high performance quality components and consists of 12 real RF receivers and weighting networks that are reconfigurable. The designer uses a computer-based operating system to select the adaptive algorithms and hardware configuration and specify the necessary adaptive processor system parameters. The adaptive processor system performance can then be evaluated using real RF desired and jamming signals.

FLEXIBLE ADAPTIVE SPATIAL SIGNAL PROCESSOR (FASSP)

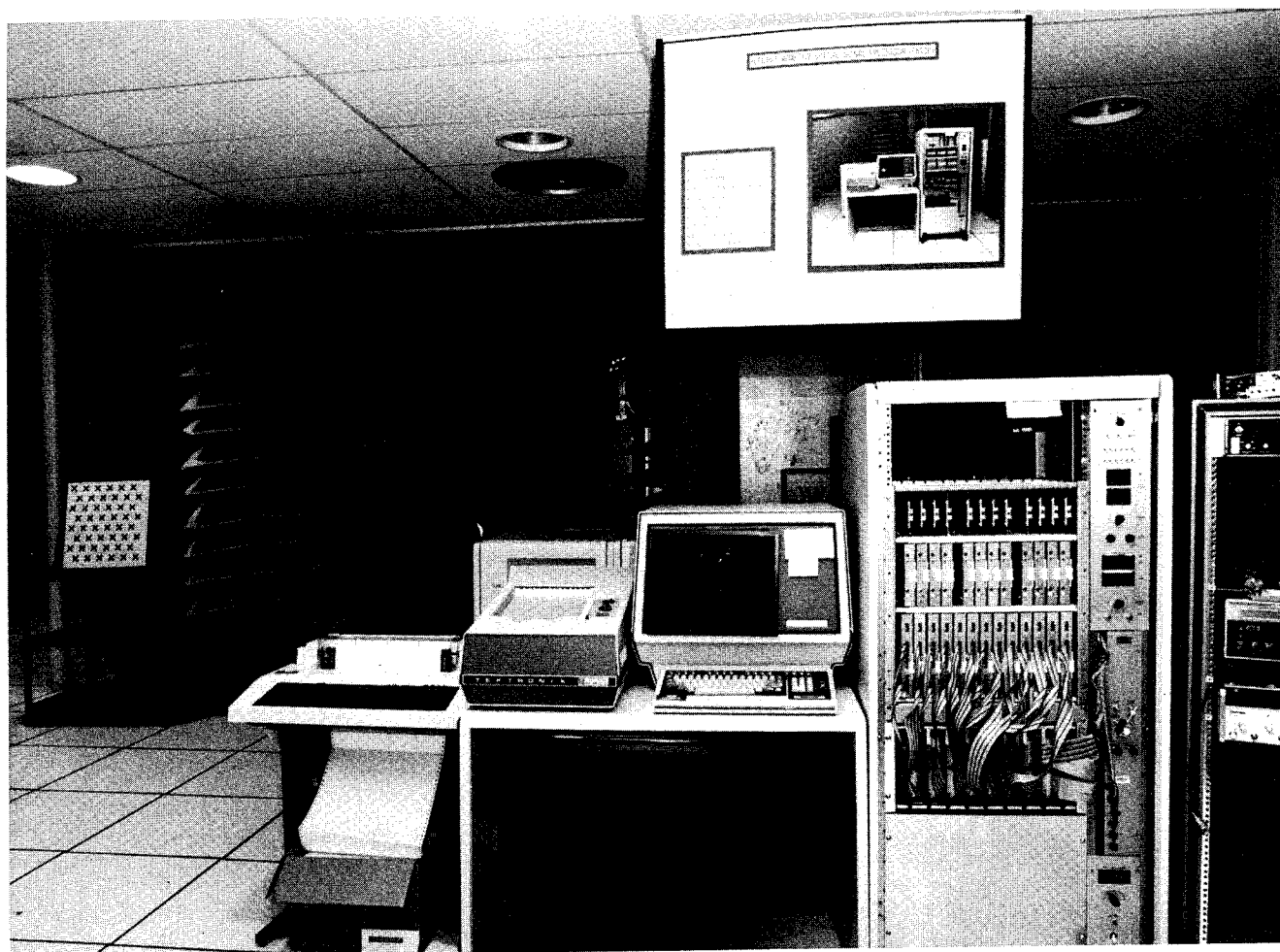


Figure 3

3.0 Conventional Adaptive Array Baseline

The conventional adaptive array processing system shown in Figure 4 was used as a baseline system configuration. The receiver antenna was a linear array of four standard gain horns separated by $2/3$ wavelength spacing. The array was located on the positioner with the elements centered in the chamber quiet zone.

CONVENTIONAL ADAPTIVE ARRAY

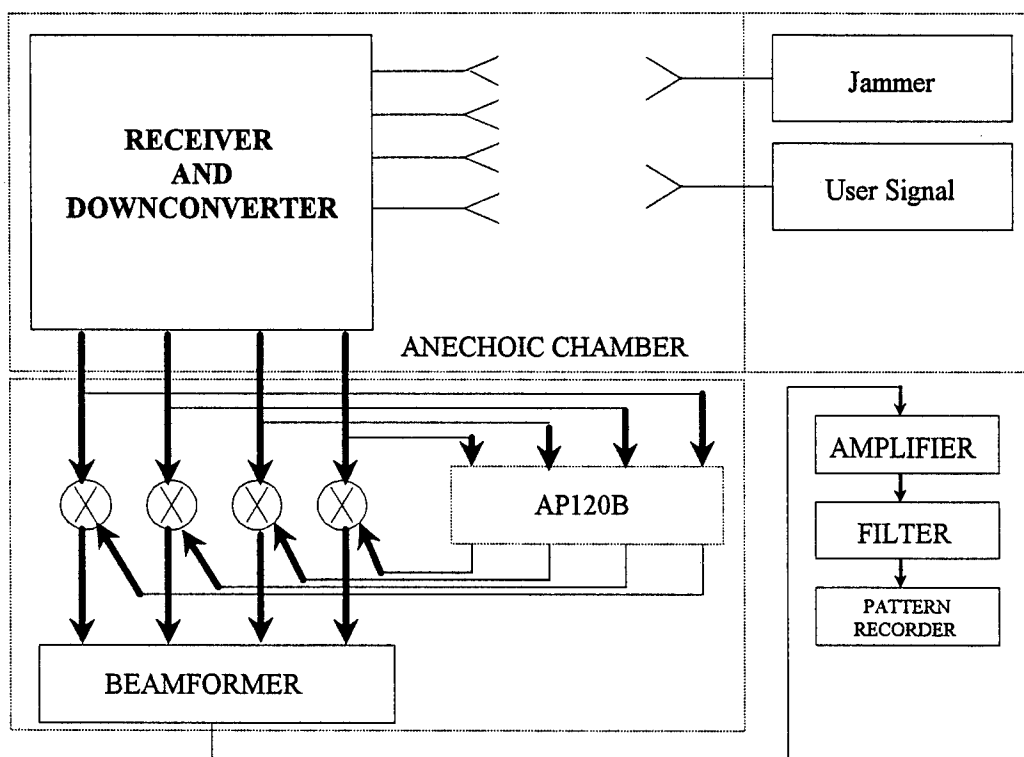


Figure 4

The Continuous Wave (CW) and wide band noise jammer antennas were located at the opposite end of the chamber. One jammer transmitter element was positioned broadside/boresight (zero degrees azimuth, zero degrees elevation) to the array. Using a CW signal the antenna array response can be plotted.

The array response plot indicated that the main beam is centered at zero degrees azimuth and the sidelobes are located at plus or minus fourteen degrees azimuth. The second jammer transmit element was positioned at fourteen degrees centered on one of the side lobes.

Nulling and beamforming functions were then performed using desired and jamming RF signals at each position.

4.0 BER Performance Measure

The Bit Error Rate (BER) performance as used here is defined to be a plot of BER as a function of Signal to Jammer (S/J) ratio. The advantage of the BER performance measure is its ability to measure the performance of an adaptive antenna receiver in terms of link quality. For a given adaptive array receiver configuration, the BER performance measure will concisely define link quality in terms of S/J.

5.0 Test Results

The hardware setup for the tests is shown in Figure 5. The figure indicates the interconnection between Modem, BER counter, FASSP and other ancillary equipment required for synchronize and filtering. Also indicated are the power levels, frequencies and equipment model numbers.

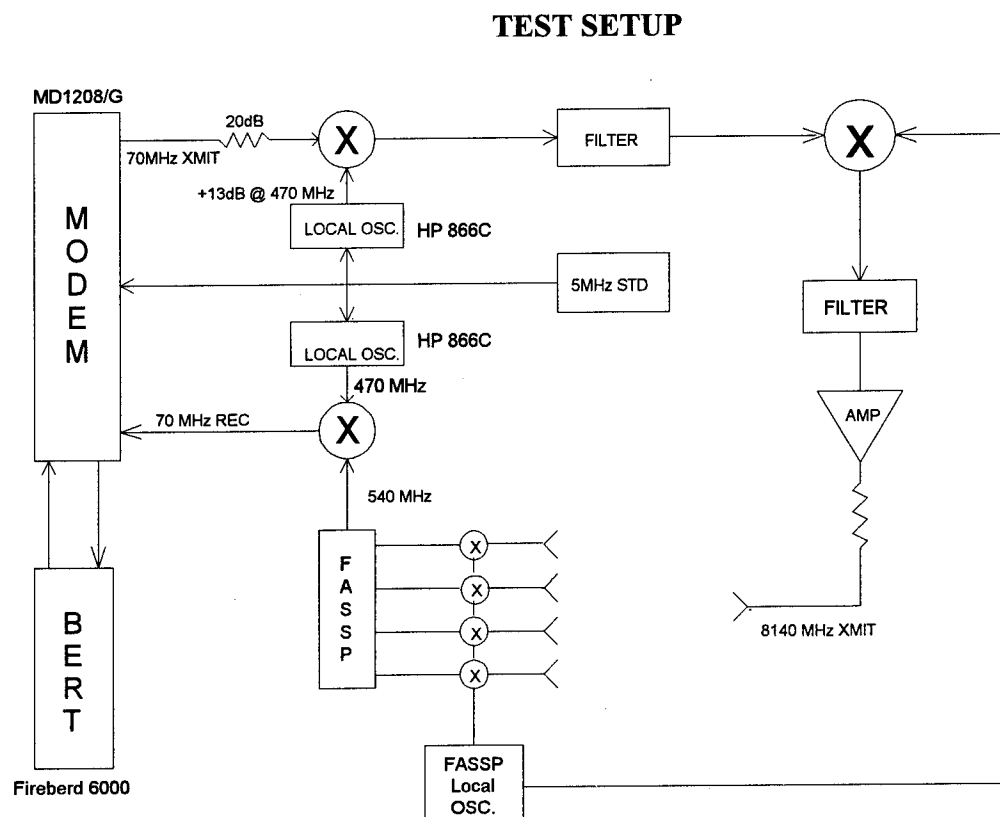


Figure 5

Figures # 6 and 7 indicate the BER performance of the Least Mean Square (LMS) adaptive antenna system. The LMS algorithm was chosen for its simplicity and robust behavior. Both figures are a plot of BER as a function of signal to interference power. For both figures, Series 1 is the adapted performance and Series 2 is the unadapted performance. Figure # 6 is the unconstrained case. For the unconstrained case, the LMS algorithm is not constrained to maintain gain in the direction of the desired signal. Figure # 7 is the constrained case. For the constrained case, the LMS algorithm is constrained to maintain gain in the direction of the desired signal. It is clear from the plots that the adaptive array interference canceller is quite effective for signal to interference ratios less than -15dB.

LMS PERFORMANCE UNCONSTRAINED

BER

unconstrained

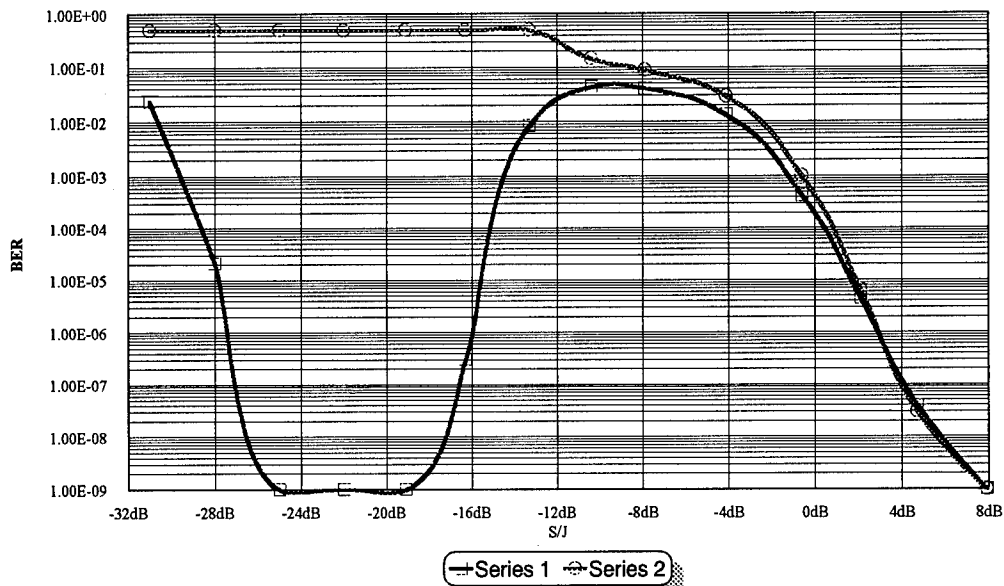


Figure 6

LMS PERFORMANCE CONSTRAINED

BER

LMS constraint .5

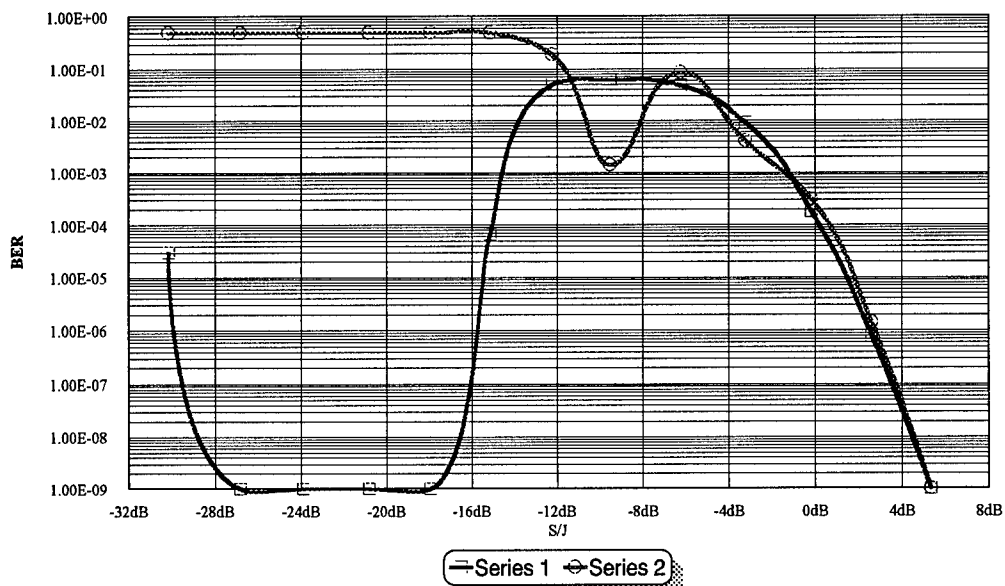


Figure 7

6.0 Conclusions and Recommendations

The BER performance measure provides a good overall assessment of the complete communications system as well as the adaptive antenna subsystem performance. In both Figures # 6 and 7, Series 2 indicates the normal PSK modem performance. As the signal to noise ratio degrades, the BER also degrades. The measure also clearly identifies performance characteristics of the adaptive algorithm under investigation. As indicated by Figures # 6 and 7 Series 1, the LMS adaptive algorithm is not very effective for signal to interference ratios greater than -15dB. This is typical behavior for power inversion algorithms. The plots also show that from -15dB to -28dB the adaptive antenna subsystem provides excellent performance enhancement. The measure also indicates FASSP hardware dynamic range limitations characterized by poor performance for signal to interference ratios less -28dB.

As a result of this effort, it has been shown that the BER performance measure is quite effective as a tool for evaluating communications systems that employ adaptive antenna interference cancellers. This work does not address the use of the BER measure as a tool to compare adaptive antenna algorithms. Follow on work should address this issue.

Rome Laboratory
Customer Satisfaction Survey

RL-TR-_____

Please complete this survey, and mail to RL/IMPS,
26 Electronic Pky, Griffiss AFB NY 13441-4514. Your assessment and
feedback regarding this technical report will allow Rome Laboratory
to have a vehicle to continuously improve our methods of research,
publication, and customer satisfaction. Your assistance is greatly
appreciated.

Thank You

Organization Name: _____ (Optional)

Organization POC: _____ (Optional)

Address: _____

1. On a scale of 1 to 5 how would you rate the technology
developed under this research?

5-Extremely Useful 1-Not Useful/Wasteful

Rating_____

Please use the space below to comment on your rating. Please
suggest improvements. Use the back of this sheet if necessary.

2. Do any specific areas of the report stand out as exceptional?

Yes___ No___

If yes, please identify the area(s), and comment on what
aspects make them "stand out."

3. Do any specific areas of the report stand out as inferior?

Yes___ No___

If yes, please identify the area(s), and comment on what aspects make them "stand out."

4. Please utilize the space below to comment on any other aspects of the report. Comments on both technical content and reporting format are desired.

***MISSION
OF
ROME LABORATORY***

Mission. The mission of Rome Laboratory is to advance the science and technologies of command, control, communications and intelligence and to transition them into systems to meet customer needs. To achieve this, Rome Lab:

- a. Conducts vigorous research, development and test programs in all applicable technologies;
- b. Transitions technology to current and future systems to improve operational capability, readiness, and supportability;
- c. Provides a full range of technical support to Air Force Materiel Command product centers and other Air Force organizations;
- d. Promotes transfer of technology to the private sector;
- e. Maintains leading edge technological expertise in the areas of surveillance, communications, command and control, intelligence, reliability science, electro-magnetic technology, photonics, signal processing, and computational science.

The thrust areas of technical competence include: Surveillance, Communications, Command and Control, Intelligence, Signal Processing, Computer Science and Technology, Electromagnetic Technology, Photonics and Reliability Sciences.